

J-CLASS OPERATORS AND HYPERCYCLICITY

GEORGE COSTAKIS AND ANTONIOS MANOUSSOS

ABSTRACT. The purpose of the present work is to treat a new notion related to linear dynamics, which can be viewed as a “localization” of the notion of hypercyclicity. In particular, let T be a bounded linear operator acting on a Banach space X and let x be a non-zero vector in X such that for every open neighborhood $U \subset X$ of x and every non-empty open set $V \subset X$ there exists a positive integer n such that $T^n U \cap V \neq \emptyset$. In this case T will be called a J -class operator. We investigate the class of operators satisfying the above property and provide various examples. It is worthwhile to mention that many results from the theory of hypercyclic operators have their analogues in this setting. For example we establish results related to the Bourdon-Feldman theorem and we characterize the J -class weighted shifts. We would also like to stress that even non-separable Banach spaces which do not support topologically transitive operators, as for example $l^\infty(\mathbb{N})$, do admit J -class operators.

1. INTRODUCTION

Let X be a complex (or real) Banach space. In the rest of the paper the symbol T stands for a bounded linear operator acting on X . We first fix some notation. Consider any subset C of X . The symbols C° , \overline{C} and ∂C denote the interior, the closure and the boundary of C respectively. The symbol $Orb(T, C)$ denotes the orbit of C under T , i.e. $Orb(T, C) = \{T^n x : x \in C, n = 0, 1, 2, \dots\}$. If $C = \{x\}$ is a singleton and the orbit $Orb(T, x)$ is dense in X , the operator T is called hypercyclic and the vector x is a hypercyclic vector for T . If $C = \{\lambda x : \lambda \in \mathbb{C}\} = \mathbb{C}x$ and the set $Orb(T, C)$ is dense in X , the operator T is called supercyclic and the vector x is a supercyclic vector for T . A

2000 *Mathematics Subject Classification*. Primary 47A16; Secondary 37B99, 54H20.

Key words and phrases. Hypercyclic operators, J -class operators, J^{mix} -class operators, unilateral and bilateral weighted shifts, cyclic vectors.

During this research the second author was fully supported by SFB 701 “Spektrale Strukturen und Topologische Methoden in der Mathematik” at the University of Bielefeld, Germany. He would also like to express his gratitude to Professor H. Abels for his support.

nice source of examples and properties of hypercyclic and supercyclic operators is the survey article [17], see also some recent survey articles [29], [18], [23], [8], [15], [19] and the recent book [2]. Observe that in case the operator T is hypercyclic the underlying Banach space X should be separable. Then it is well known and easy to show that *an operator $T : X \rightarrow X$ is hypercyclic if and only if for every pair of non-empty open sets U, V of X there exists a positive integer n such that $T^n(U) \cap V \neq \emptyset$* . The purpose of this paper is twofold. Firstly we somehow “localize” the notion of hypercyclicity by introducing certain sets, which we call J -sets. The notion of J -sets is well known in the theory of topological dynamics, see [6]. Roughly speaking, if x is a vector in X and T an operator, then the corresponding J -set of x under T describes the asymptotic behavior of all vectors nearby x . To be precise for a given vector $x \in X$ we define

$$J(x) = \{y \in X : \text{there exist a strictly increasing sequence of positive integers } \{k_n\} \text{ and a sequence } \{x_n\} \subset X \text{ such that } x_n \rightarrow x \text{ and } T^{k_n}x_n \rightarrow y\}.$$

Secondly we try to develop a systematic study of operators whose J -set under some vector is the whole space. As it turns out this new class of operators although is different from the class of hypercyclic operators, shares some similarities with the behavior of hypercyclic operators. In fact it is not difficult to see that if T is hypercyclic then $J(x) = X$ for every $x \in X$. On the other hand we provide examples of operators T such that $J(x) = X$ for some vector $x \in X$ but T fails to be hypercyclic and in general T need not be even multi-cyclic. This should be compared with the results of Feldman in [16] where he shows that a countably hypercyclic operator need not be multi-cyclic. We would like to stress that non-separable Banach spaces, as the space $l^\infty(\mathbb{N})$ of bounded sequences, supports J -class operators, (see Proposition 5.2), while it is known that the space $l^\infty(\mathbb{N})$ does not support topologically transitive operators, see [3].

The paper is organized as follows. In section 2 we define the J -sets and we examine some basic properties of these sets. In section 3 we investigate the relation between hypercyclicity and J -sets. In particular we show that $T : X \rightarrow X$ is hypercyclic if and only if there exists a cyclic vector $x \in X$ such that $J(x) = X$. Recall that a vector x is cyclic for T if the linear span of the orbit $\text{Orb}(T, x)$ is dense in X . The main result of section 4 is a generalization of a theorem due to Bourdon and Feldman, see [11]. Namely, we show that if x is a cyclic

vector for an operator $T : X \rightarrow X$ and the set $J(x)$ has non-empty interior then $J(x) = X$ and, in addition, T is hypercyclic. In section 5 we introduce the notion of J -class operator and we establish some of its properties. We also present examples of J -class operators which are not hypercyclic. On the other hand, we show that if T is a bilateral or a unilateral weighted shift on the space of square summable sequences then T is hypercyclic if and only if T is a J -class operator. Finally, in section 6 we give a list of open problems.

2. PRELIMINARIES AND BASIC NOTIONS

If one wants to work on general non-separable Banach spaces and in order to investigate the dynamical behavior of the iterates of T , the suitable substitute of hypercyclicity is the following well known notion of topological transitivity which is frequently used in dynamical systems.

Definition 2.1. An operator $T : X \rightarrow X$ is called *topologically transitive* if for every pair of open sets U, V of X there exists a positive integer n such that $T^n U \cap V \neq \emptyset$.

Definition 2.2. Let $T : X \rightarrow X$ be an operator. For every $x \in X$ the sets

$$L(x) = \{y \in X : \text{there exists a strictly increasing sequence of positive integers } \{k_n\} \text{ such that } T^{k_n} x \rightarrow y\}$$

and

$$J(x) = \{y \in X : \text{there exist a strictly increasing sequence of positive integers } \{k_n\} \text{ and a sequence } \{x_n\} \subset X \text{ such that } x_n \rightarrow x \text{ and } T^{k_n} x_n \rightarrow y\}$$

denote the *limit set* and the *extended (prolongational) limit set* of x under T respectively. In case T is invertible and for every $x \in X$ the sets $L^+(x)$, $J^+(x)$ ($L^-(x)$, $J^-(x)$) denote the limit set and the extended limit set of x under T (T^{-1}).

Remark 2.3. An equivalent definition of $J(x)$ is the following.

$$J(x) = \{y \in X : \text{for every pair of neighborhoods } U, V \text{ of } x, y \text{ respectively, there exists a positive integer } n, \text{ such that } T^n U \cap V \neq \emptyset\}.$$

Observe now that T is topologically transitive if and only if $J(x) = X$ for every $x \in X$.

Definition 2.4. Let $T : X \rightarrow X$ be an operator. A vector x is called *periodic* for T if there exists a positive integer n such that $T^n x = x$.

The proof of the following lemma can be found in [12].

Lemma 2.5. Let $T : X \rightarrow X$ be an operator and $\{x_n\}, \{y_n\}$ be two sequences in X such that $x_n \rightarrow x$ and $y_n \rightarrow y$ for some $x, y \in X$. If $y_n \in J(x_n)$ for every $n = 1, 2, \dots$, then $y \in J(x)$.

Proposition 2.6. For all $x \in X$ the sets $L(x), J(x)$ are closed and T -invariant.

Proof. It is an immediate consequence of the previous lemma. \square

Remark 2.7. Note that the set $J(x)$ is not always invariant under the operation T^{-1} even in the case T is surjective. For example consider the operator $T = \frac{1}{2}B$ where B is the backward shift operator on, $l^2(\mathbb{N})$, the space of square summable sequences. Since $\|T\| = \frac{1}{2}$ it follows that $L(x) = J(x) = \{0\}$ for every $x \in l^2(\mathbb{N})$. For any non-zero vector $y \in \text{Ker} T$ we have $Ty = 0 \in J(x)$ and $y \in X \setminus J(x)$. However, if T is invertible it is easy to verify the following.

Proposition 2.8. Let $T : X \rightarrow X$ be an invertible operator. Then $T^{-1}J(x) = J(x)$ for every $x \in X$.

Proof. By Proposition 2.6 it follows that $J(x) \subset T^{-1}J(x)$. Take $y \in T^{-1}J(x)$. There are a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{x_n\} \subset X$ so that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow Ty$, hence $T^{k_n-1}x_n \rightarrow y$. \square

Proposition 2.9. Let $T : X \rightarrow X$ be an operator and $x, y \in X$. Then $y \in J^+(x)$ if and only if $x \in J^-(y)$.

Proof. If $y \in J^+(x)$ there exist a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{x_n\} \subset X$ such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow y$. Then $T^{-k_n}(T^{k_n}x_n) = x_n \rightarrow x$, hence $x \in J^-(y)$. \square

Proposition 2.10. Let $T : X \rightarrow X$ be an operator. If T is power bounded then $J(x) = L(x)$ for every $x \in X$.

Proof. Since T is power bounded there exists a positive number M such that $\|T^n\| \leq M$ for every positive integer n . Fix a vector $x \in X$. If $J(x) = \emptyset$ there is nothing to prove. Therefore assume that $J(x) \neq \emptyset$. Since the inclusion $L(x) \subset J(x)$ is always true, it suffices to show that $J(x) \subset L(x)$. Take $y \in J(x)$. There exist a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{x_n\} \subset X$ such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow y$. Then we have $\|T^{k_n}x - y\| \leq \|T^{k_n}x - T^{k_n}x_n\| + \|T^{k_n}x_n - y\| \leq M\|x - x_n\| + \|T^{k_n}x_n - y\|$ and letting n goes to infinity to the above inequality, we get that $y \in L(x)$. \square

Lemma 2.11. *Let $T : X \rightarrow X$ be an operator. If $J(x) = X$ for some non-zero vector $x \in X$ then $J(\lambda x) = X$ for every $\lambda \in \mathbb{C}$.*

Proof. For $\lambda \in \mathbb{C} \setminus \{0\}$ it is easy to see that $J(\lambda x) = X$. It remains to show that $J(0) = X$. Fix a sequence of non-zero complex numbers $\{\lambda_n\}$ converging to 0 and take $y \in J(x)$. Then $y \in J(\lambda_n x)$ for every n and since $\lambda_n \rightarrow 0$, Lemma 2.5 implies that $y \in J(0)$. Hence $J(0) = X$. \square

Proposition 2.12. *Let $T : X \rightarrow X$ be an operator. Define the set $A = \{x \in X : J(x) = X\}$. Then A is a closed, connected and $T(A) \subset A$.*

Proof. The T -invariance follows immediately from the T -invariance of $J(x)$. By Lemma 2.5 we conclude that A is closed. Let $x \in A$. Lemma 2.11 implies that for every $\lambda \in \mathbb{C}$, $J(0) = J(\lambda x) = X$, hence A is connected. \square

3. A CHARACTERIZATION OF HYPERCYCLIC OPERATORS THROUGH J -SETS

The following characterization of hypercyclic operators appears more or less in [17]. However we sketch the proof for the purpose of completeness.

Theorem 3.1. *Let $T : X \rightarrow X$ be an operator acting on a separable Banach space X . The following are equivalent.*

- (i) T is hypercyclic;
- (ii) For every $x \in X$ it holds that $J(x) = X$;
- (iii) The set $A = \{x \in X : J(x) = X\}$ is dense in X ;
- (iv) The set $A = \{x \in X : J(x) = X\}$ has non-empty interior.

Proof. We first prove that (i) implies (ii). Let $x, y \in X$. Since the set of hypercyclic vectors is G_δ and dense in X there exist a sequence $\{x_n\}$ of hypercyclic vectors and a strictly increasing sequence $\{k_n\}$ of positive integers such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow y$ as $n \rightarrow \infty$. Hence $y \in J(x)$. That (ii) implies (iii) is trivial. A consequence of Lemma 2.5 is that (iii) gives (ii). Next we show that (iv) implies (ii). Fix $x \in A^\circ$ and consider $y \in X$ arbitrary. Then $y \in J(x) = X$, hence there exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence $\{k_n\}$ of positive integers such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow y$. Since $x \in A^\circ$ without loss of generality we may assume that $x_n \in A$ for every n . Moreover A is T -invariant, hence $T^{k_n}x_n \in A$ for every n . Since $T^{k_n}x_n \rightarrow y$ and A is closed we conclude that $y \in A$. Let us now prove that (ii) implies (i). Fix $\{x_j\}$ a countable dense set of X . Define the sets $E(j, s, n) = \{x \in X : \|T^n x - x_j\| < \frac{1}{s}\}$ for every $j, s = 1, 2, \dots$ and

every $n = 0, 1, 2, \dots$. In view of Baire's Category Theorem and the well known set theoretical description of hypercyclic vectors through the sets $E(j, s, n)$, it suffices to show that the set $\bigcup_{n=0}^{\infty} E(j, s, n)$ is dense in X for every j, s . Indeed, let $y \in X$, $\epsilon > 0$, j, s be given. Since $J(y) = X$, there exist $x \in X$ and $n \in \mathbb{N}$ such that $\|x - y\| < \epsilon$ and $\|T^n x - x_j\| < 1/s$. \square

The following lemma -see also Corollary 3.4- which is of great importance in the present paper, gives information about the spectrum of the adjoint T^* of an operator $T : X \rightarrow X$ provided there is a vector $x \in X$ whose extended limit set $J(x)$ has non-empty interior. The corresponding result for hypercyclic operators has been proven by P. Bourdon in [9].

Lemma 3.2. *Let $T : X \rightarrow X$ be an operator acting on a complex or real Banach space X . Suppose there exists a vector $x \in X$ such that $J(x)$ has non-empty interior and x is cyclic for T . Then for every non-zero polynomial P the operator $P(T)$ has dense range. In particular the point spectrum $\sigma_p(T^*)$ of T^* (the adjoint operator of T) is empty, i.e. $\sigma_p(T^*) = \emptyset$.*

Proof. Assume first that X is a complex Banach space. Since $P(T)$ can be decomposed in the form $P(T) = \alpha(T - \lambda_1 I)(T - \lambda_2 I) \dots (T - \lambda_k I)$ for some $\alpha, \lambda_i \in \mathbb{C}$, $i = 1, \dots, k$, where I stands for the identity operator, it suffices to show that $T - \lambda I$ has dense range for any $\lambda \in \mathbb{C}$. If not, there exists a non-zero linear functional x^* such that $x^*((T - \lambda I)(x)) = 0$ for every $x \in X$. The last implies that $x^*(T^n x) = \lambda^n x^*(x)$ for every $x \in X$ and every n non-negative integer. Take y in the interior of $J(x)$. Then there exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence $\{k_n\}$ of positive integers such that $x_n \rightarrow x$ and $T^{k_n} x_n \rightarrow y$ as $n \rightarrow +\infty$. Suppose first that $|\lambda| < 1$. Observe that $x^*(T^{k_n} x_n) = \lambda^{k_n} x^*(x_n)$ and letting $n \rightarrow +\infty$ we arrive at $x^*(y) = 0$. Since the functional x^* is zero on an open subset of X must be identically zero on X , which is a contradiction. Working for $|\lambda| = 1$ as before, it is easy to show that for every y in the interior of $J(x)$, $x^*(y) = \mu x^*(x)$ for some $\mu \in \mathbb{C}$ with $|\mu| = 1$, which is again a contradiction since x^* is surjective. Finally we deal with the case $|\lambda| > 1$. *At this part of the proof we shall use the hypothesis that x is cyclic.* Letting $n \rightarrow +\infty$ to the next relation, $x^*(x_n) = \frac{1}{\lambda^{k_n}} x^*(T^{k_n} x_n)$, it is plain that $x^*(x) = 0$ and therefore $x^*(T^n x) = 0$ for every n non-negative integer. The last implies that $x^*(P(T)x) = 0$ for every P non-zero polynomial and since x is cyclic the linear functional x^* vanishes everywhere, which gives a contradiction. It remains to handle the real case. For that it suffices to

consider the case where P is an irreducible and monic polynomial of the form $P(t) = t^2 - 2\operatorname{Re}(w)t + |w|^2$ for some non-real complex number w . Assume that $P(T)$ does not have dense range. Then there exists a non-zero $x^* \in \operatorname{Ker}(P(T)^*)$. Following the proof of the main result in [5], there exists a real 2×2 matrix A such that $J_{A^t}((x^*(Tx), x^*(x))^t) = \mathbb{R}^2$, where the symbol A^t stands for the transpose of A . By Proposition 5.5 (which hold in the real case as well) we get $x^*(Tx) = x^*(x) = 0$. The last implies that $x^*(Q(T)x) = 0$ for every real polynomial Q . Since x is cyclic we conclude that $x^* = 0$ which is a contradiction. This completes the proof of the lemma. \square

Theorem 3.3. *Let $T : X \rightarrow X$ be an operator acting on a separable Banach space X . Then T is hypercyclic if and only if there exists a cyclic vector $x \in X$ for T such that $J(x) = X$.*

Proof. We need only to prove that if $x \in X$ is a cyclic vector for T and $J(x) = X$ then T is hypercyclic. Take any non-zero polynomial P . It is easy to check that $P(T)(J(x)) \subset J(P(T)x)$. By the previous lemma it follows that $P(T)$ has dense range and since $J(x) = X$ we conclude that $X = \overline{P(T)(X)} \subset J(P(T)x)$. Therefore $J(P(T)x) = X$ for every non-zero polynomial P . The fact that x is a cyclic vector it now implies that there exists a dense set D in X so that $J(y) = X$ for every $y \in D$. Hence, in view of Theorem 3.1, T is hypercyclic. \square

Corollary 3.4. *Let $T : X \rightarrow X$ be an operator. Suppose there exists a vector $x \in X$ such that $J(x)$ has non-empty interior. Then for every $\lambda \in \mathbb{C}$ with $|\lambda| \leq 1$ the operator $T - \lambda I$ has dense range.*

Proof. See the proof of Lemma 3.2. \square

Remark 3.5. At this point we would like to comment on Theorem 3.3. First of all under the hypothesis that x is a cyclic vector for T and $J(x) = X$ one cannot get a stronger conclusion than T is hypercyclic. In particular it is not true in general that x is a hypercyclic vector. To see this, take $T = 2B$ where B is the backward shift operator acting on the space of square summable sequences $l^2(\mathbb{N})$ over \mathbb{C} . In [14] Feldman showed that for a given positive number ϵ there exists a vector $x \in l^2(\mathbb{N})$ such that the set $\operatorname{Orb}(2B, x)$ is ϵ -dense in $l^2(\mathbb{N})$ (this means that for every $y \in l^2(\mathbb{N})$ there exists a positive integer n such that $T^n x$ is ϵ -close to y), but x is not hypercyclic for $2B$. It is straightforward to check that x is supercyclic for $2B$ and hence it is cyclic. In addition $J(x) = l^2(\mathbb{N})$ since $2B$ is hypercyclic (see Theorem 3.1).

Remark 3.6. Let us now show that the hypothesis x is cyclic in Theorem 3.3 cannot be omitted. Let $B : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$ be the backward shift

operator. Consider the operator $T = 2I \oplus 2B : \mathbb{C} \oplus l^2(\mathbb{N}) \rightarrow \mathbb{C} \oplus l^2(\mathbb{N})$, where I is the identity operator acting on \mathbb{C} . It is obvious that $2I \oplus 2B$ is not a hypercyclic operator. However we shall show that for every hypercyclic vector $y \in l^2(\mathbb{N})$ for $2B$ it holds that $J(0 \oplus y) = \mathbb{C} \oplus l^2(\mathbb{N})$. Therefore there exist (non-cyclic) non-zero vectors $x \in \mathbb{C} \oplus l^2(\mathbb{N})$ with $J(x) = \mathbb{C} \oplus l^2(\mathbb{N})$ and T is not hypercyclic. Indeed, fix a hypercyclic vector $y \in l^2(\mathbb{N})$ for $2B$ and let $\lambda \in \mathbb{C}$, $w \in l^2(\mathbb{N})$. There exists a strictly increasing sequence of positive integers $\{k_n\}$ such that $T^{k_n}y \rightarrow w$. Define $x_n = \frac{\lambda}{2^{k_n}} \oplus y$. Then $x_n \rightarrow 0 \oplus y$ and $T^{k_n}x_n \rightarrow \lambda \oplus w$. Hence, $J(0 \oplus y) = \mathbb{C} \oplus l^2(\mathbb{N})$.

4. AN EXTENSION OF BOURDON-FELDMAN'S THEOREM

In this section we establish an extension of the following striking result due to Bourdon and Feldman [11]: *if X is a separable Banach space, $T : X \rightarrow X$ an operator and for some vector $x \in X$ the orbit $\text{Orb}(T, x)$ is somewhere dense then $\overline{\text{Orb}(T, x)} = X$* . This theorem was an answer to a question raised by Peris in [25]. We shall prove the following theorem.

Theorem 4.1. *Let x be a cyclic vector for T . If $J(x)^\circ \neq \emptyset$ then $J(x) = X$.*

In order to prove Theorem 4.1 we follow the steps of the proof of Bourdon-Feldman's theorem. Of course there are some extra technicalities which have to be taken care since the orbit $\text{Orb}(T, x)$ of x under T is replaced by the extended limit set $J(x)$ of x .

Lemma 4.2. *If for some non-zero polynomial P the operator $P(T)$ has dense range and x is a cyclic vector for T then $P(T)x$ is cyclic for T .*

Proof. Take $P(T)y$ for some $y \in X$. Since x is cyclic there is a sequence of polynomials $\{Q_n\}$ such that $Q_n(T)x \rightarrow y$. Therefore, $Q_n(T)(P(T)x) \rightarrow P(T)y$. \square

Lemma 4.3. *Assume that x is a cyclic vector for T and $J(x)$ has non-empty interior. Then the set $X \setminus J(x)^\circ$ is T -invariant.*

Proof. We argue by contradiction. Let $y \in X \setminus J(x)^\circ$ be such that $Ty \in J(x)^\circ$. By the continuity of T we may assume that $y \notin J(x)$. Moreover, since x is cyclic we may find a non-zero polynomial $P(T)$ such that $P(T)x \in X \setminus J(x)^\circ$ and $TP(T)x \in J(x)^\circ$. Hence, there exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow TP(T)x$. Taking any polynomial Q we get $Q(T)x_n \rightarrow Q(T)x$ and $T^{k_n}Q(T)x_n =$

$Q(T)(T^{k_n}x_n) \rightarrow Q(T)TP(T)x$. So it follows that $P(T)TQ(T)x \in J(Q(T)x)$ for every polynomial Q . But $J(Q(T)x) \subset J(TQ(T)x)$, hence we get $P(T)TQ(T)x \in J(TQ(T)x)$ for every polynomial Q . By Lemmata 3.2 and 4.2, Tx is a cyclic vector for T , hence there exists a sequence of the form $\{Q_n(T)x\}$, for some non-zero polynomials Q_n , such that $TQ_n(T)x \rightarrow x$. Therefore it follows that $P(T)TQ_n(T)x \rightarrow P(T)x$. Observe that $P(T)TQ_n(T)x \in J(TQ_n(T)x)$ and using Lemma 2.5 it follows that $P(T)x \in J(x)$ which is a contradiction. \square

Lemma 4.4. *Assume that x is a cyclic vector for T and $J(x)$ has non-empty interior. Suppose that $Q(T)x \in X \setminus J(x)$ for some non-zero polynomial Q . Then $Q(T)(J(x)) \subset X \setminus J(x)^o$.*

Proof. Let $y \in J(x)$. There exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $x_n \rightarrow x$ and $T^{k_n}x_n \rightarrow y$. Since $X \setminus J(x)$ is an open set we may assume that $Q(T)x_n \in X \setminus J(x)$ for every n and thus $Q(T)x_n \in X \setminus J(x)^o$. By Lemma 4.3 the set $X \setminus J(x)^o$ is T -invariant, therefore $T^{k_n}Q(T)x = Q(T)T^{k_n}x_n \in X \setminus J(x)^o$. Now it is plain that $Q(T)y \in X \setminus J(x)^o$. \square

Lemma 4.5. *Assume that x is a cyclic vector for T , $J(x)$ has non-empty interior and let P be any non zero polynomial. Then $P(T)x \notin \partial(J(x)^o)$.*

Proof. In view of Lemma 4.4 let us define the set

$$\mathcal{A} = \{Q : Q \text{ is a polynomial and } Q(T)x \in X \setminus J(x)\}.$$

Note that the set $\{Qx : Q \in \mathcal{A}\}$ is dense in $X \setminus J(x)^o$. We argue by contradiction. Suppose there exists a non-zero polynomial P so that $P(T)x \in \partial(J(x)^o)$. The inclusion $\partial(J(x)^o) \subset \partial J(x)$ gives that $P(T)x \in \partial(X \setminus J(x))$. We will prove that $P(T)(J(x)^o) \subset X \setminus J(x)^o$. Since x is a cyclic vector and $J(x)^o$ is open, it is enough to show that: if $S(T)x \in J(x)^o$ for some non-zero polynomial S then $P(T)S(T)x \in X \setminus J(x)^o$. We have $P(T)x \in \partial(X \setminus J(x))$. Therefore there exists a sequence $\{Q_n(T)x\}$ such that $Q_n \in \mathcal{A}$ and $Q_n(T)x \rightarrow P(T)x$. Hence Lemma 4.4 yields that $Q_n(T)S(T)x \in X \setminus J(x)^o$. So, we get $Q_n(T)S(T)x \rightarrow P(T)S(T)x$ and $P(T)S(T)x \in X \setminus J(x)^o$. Consider the set $D := J(x)^o \cup \{Q(T)x : Q \in \mathcal{A}\}$ which is dense in X . By Lemma 3.2, $P(T)D$ is dense in X . Since $P(T)x \in J(x)$, Lemma 4.4 implies that $Q(T)P(T)x \in X \setminus J(x)^o$ for every $Q \in \mathcal{A}$. Hence

$$P(T)D = P(T)(J(x)^o) \cup \{P(T)Q(T)x : Q \in \mathcal{A}\} \subset X \setminus J(x)^o,$$

which is a contradiction. \square

Proof of Theorem 4.1 The set $\{P(T)x : P \text{ is a non-zero polynomial}\}$ is dense and connected. Assume that $J(x) \neq X$. So we can find a non-zero polynomial P such that $P(T)x \in \partial(J(x)^o)$. This contradicts Lemma 4.5. \square

Corollary 4.6. *Let $T : X \rightarrow X$ be an operator. If there exists a cyclic vector $x \in X$ for T such that $J(x)$ has non-empty interior then T is hypercyclic.*

Proof. The proof follows by combining Theorems 3.3 and 4.1. \square

Corollary 4.7 (Bourdon-Feldman's theorem). *Let $T : X \rightarrow X$ be an operator. If for some vector $x \in X$ the orbit $\text{Orb}(T, x)$ is somewhere dense then it is everywhere dense.*

Proof. It is easy to see that x is a cyclic vector for T . Since $\text{Orb}(T, x)$ is somewhere dense, it follows that $L(x)^o \neq \emptyset$. Note that $L(x) \subset J(x)$. Hence Theorem 4.1 implies that $J(x) = X$. The set $\overline{\text{Orb}(T, x)}$ has non-empty interior so we can find a positive integer l such that $T^l x \in \overline{\text{Orb}(T, x)}^o$. Since $J(x) = X$ and $J(x) \subset J(T^l x)$ we arrive at $J(T^l x) = X$. So it is enough to prove that $\overline{\text{Orb}(T, x)} = J(T^l x)$. Let $y \in J(T^l x)$. There exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $x_n \rightarrow T^l x$ and $T^{k_n} x_n \rightarrow y$. Observing that $T^l x \in \overline{\text{Orb}(T, x)}^o$, without loss of generality we may assume that $x_n \in \overline{\text{Orb}(T, x)}^o$ for every n . Moreover $\overline{\text{Orb}(T, x)}$ is T -invariant, hence $T^{k_n} x_n \in \overline{\text{Orb}(T, x)}$ for every n . Since $T^{k_n} x_n \rightarrow y$ we conclude that $y \in \overline{\text{Orb}(T, x)}$. \square

Corollary 4.8. *Let $T : X \rightarrow X$ be an operator. Suppose there exist a vector $x \in X$ and a polynomial P such that $P(T)x$ is a cyclic vector for T . If the set $J(x)$ has non-empty interior then T is hypercyclic.*

Proof. Since $P(T)x$ is a cyclic vector for T it is obvious that x is a cyclic vector for T . Using the hypothesis that the set $J(x)$ has non-empty interior, Corollary 4.6 implies the desired result. \square

Remark 4.9. The conclusion of Corollary 4.6 does not hold in general if x is a cyclic vector for T and $J(P(T)x) = X$ for some polynomial P . To see that, consider the space $X = \mathbb{C} \oplus l^2(\mathbb{N})$ and let $B : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$ be the backward shift operator. Define the operator $T = 2I \oplus 3B : X \rightarrow X$, where I denotes the identity operator acting on \mathbb{C} . Take any hypercyclic vector y for $3B$ and define $x = 1 \oplus y$. Then x is cyclic for T (in fact x is supercyclic for T) and obviously T is not hypercyclic. In fact it holds that $J(x) = \emptyset$. Consider the polynomial $P(z) = z - 2$. Then $P(T)x = 0 \oplus P(3B)y$. Since y is hypercyclic for $3B$, by a classical

result due to Bourdon [9], the vector $P(3B)x$ is hypercyclic for $3B$ as well. Then using a similar argument as in Remark 3.6 we conclude that $J(P(T)x) = J(0 \oplus P(3B)y) = X$. In particular, the above shows that, *if T is cyclic and $J(x) = X$ for some vector $x \in X$ then T is not hypercyclic in general*. On the other hand, we have the following.

Corollary 4.10. *Let $T : X \rightarrow X$ be an operator. Suppose P is a non-zero polynomial such that $P(T)$ has dense range. If x is a cyclic vector for T , $P(T)x \neq 0$ and $J(P(T)x)^o \neq \emptyset$ then T is hypercyclic.*

Proof. Lemma 4.2 implies that $P(T)x$ is a cyclic vector for T . Since $J(P(T)x)^o \neq \emptyset$, Corollary 4.6 implies that T is hypercyclic. \square

5. J-CLASS OPERATORS

Definition 5.1. An operator $T : X \rightarrow X$ will be called a *J-class operator* provided there exists a non-zero vector $x \in X$ so that the extended limit set of x under T (see Definition 2.2) is the whole space, i.e. $J(x) = X$. In this case x will be called a *J-class vector* for T .

The reason we exclude the extended limit set of the zero vector is to avoid certain trivialities, as for example the multiples of the identity operator acting on finite or infinite dimensional spaces. To explain briefly, for any positive integer n consider the operator $\lambda I : \mathbb{C}^n \rightarrow \mathbb{C}^n$, where λ is a complex number of modulus greater than 1 and I is the identity operator. It is then easy to check that $J_{\lambda I}(0) = X$ and $J_{\lambda I}(x) \neq \mathbb{C}^n$ for every $x \in \mathbb{C}^n \setminus \{0\}$. However, the extended limit set of the zero vector plays an important role in checking whether an operator $T : X \rightarrow X$ -acting on a Banach space X - supports non-zero vectors x with $J_T(x) = X$, see Proposition 5.9. Let us also point out that from the examples we presented in section 3, see Remark 3.6, it clearly follows that this new class of operators does not coincide with the class of hypercyclic operators.

Let us turn our attention to non-separable Banach spaces. Obviously a non-separable Banach space cannot support hypercyclic operators. However, it is known that topologically transitive operators may exist in non-separable Banach spaces, see for instance [7]. On the other hand in [3], Bermúdez and Kalton showed that the non-separable Banach space $l^\infty(\mathbb{N})$ of bounded sequences over \mathbb{C} does not support topologically transitive operators. Below we prove that the Banach space $l^\infty(\mathbb{N})$ supports J-class operators.

Proposition 5.2. *Let $B : l^\infty(\mathbb{N}) \rightarrow l^\infty(\mathbb{N})$ be the backward shift where $l^\infty(\mathbb{N})$ is the Banach space of bounded sequences over \mathbb{C} , endowed with the usual supremum norm. Then for every $|\lambda| > 1$, λB is a J-class*

operator. In fact we have the following complete characterization of the set of J -class vectors. For every $|\lambda| > 1$ it holds that

$$\{x \in l^\infty(\mathbb{N}) : J_{\lambda B}(x) = l^\infty(\mathbb{N})\} = c_0(\mathbb{N}),$$

where $c_0(\mathbb{N}) = \{x = (x_n)_{n \in \mathbb{N}} \in l^\infty(\mathbb{N}) : \lim_{n \rightarrow +\infty} x_n = 0\}$.

Proof. Fix $|\lambda| > 1$. Let us first show that if x is a vector in $l^\infty(\mathbb{N})$ with finite support then $J_{\lambda B}(x) = l^\infty(\mathbb{N})$. For simplicity let us assume that $x = e_1 = (1, 0, 0, \dots)$. Take any $y = (y_1, y_2, \dots) \in l^\infty(\mathbb{N})$. Define $x_n = (1, 0, \dots, 0, \frac{y_1}{\lambda^n}, \frac{y_2}{\lambda^n}, \dots)$ where 0's are taken up to the n -th coordinate. Obviously $x_n \in l^\infty(\mathbb{N})$ and it is straightforward to check that $x_n \rightarrow e_1$ and $(\lambda B)^n x_n = y$ for all n . Hence, $J_{\lambda B}(e_1) = l^\infty(\mathbb{N})$. Since the closure of the set consisting of all the vectors with finite support is $c_0(\mathbb{N})$, an application of Lemma 2.5 gives that $c_0(\mathbb{N})$ is contained in $\{x \in l^\infty(\mathbb{N}) : J_{\lambda B}(x) = l^\infty(\mathbb{N})\}$. It remains to show the converse implication. Suppose that $J_{\lambda B}(x) = l^\infty(\mathbb{N})$ for some non-zero vector $x = (x_1, x_2, \dots) \in l^\infty(\mathbb{N})$. Then there exist a sequence $y_n = (y_{n1}, y_{n2}, \dots)$, $n = 1, 2, \dots$ in $l^\infty(\mathbb{N})$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $y_n \rightarrow x$ and $(\lambda B)^{k_n} y_n \rightarrow 0$. Consider $\epsilon > 0$. There exists a positive integer n_0 such that $\|y_n - x\| < \epsilon$ and $\|(\lambda B)^{k_n} y_n\| = |\lambda|^{k_n} \sup_{m \geq k_n+1} |y_{nm}| < \epsilon$ for every $n \geq n_0$. Hence for every $m \geq k_{n_0} + 1$ and since $|\lambda| > 1$ it holds that $|x_m| \leq \|y_{n_0} - x\| + |y_{n_0 m}| < 2\epsilon$. The last implies that $x \in c_0(\mathbb{N})$ and this completes the proof. \square

Remark 5.3. The previous proof actually yields that for every $|\lambda| > 1$, $J_{\lambda B}(x) = l^\infty(\mathbb{N})$ if and only if $0 \in J_{\lambda B}(x)$.

Next we show that certain operators, such as positive, compact, hyponormal and operators acting on finite dimensional spaces cannot be J -class operators. It is well known that the above mentioned classes of operators are disjoint from the class of hypercyclic operators, see [22], [10].

Proposition 5.4. (i) *Let X be an infinite dimensional separable Banach space and $T : X \rightarrow X$ be an operator. If T is compact then it is not a J -class operator.*

(ii) *Let H be an infinite dimensional separable Hilbert space and $T : H \rightarrow H$ be an operator. If T is positive or hyponormal then it is not a J -class operator.*

Proof. Let us prove assertion (i). Suppose first that T is compact. If T is a J -class operator, there exists a non-zero vector $x \in X$ so that $J(x) = X$. It is clear that there exists a bounded set $C \subset X$ such that the set $\text{Orb}(T, C)$ is dense in X . Then according to Proposition 4.4 in

[16] no component of the spectrum, $\sigma(T)$, of T can be contained in the open unit disk. However, for compact operators the singleton $\{0\}$ is always a component of the spectrum and this gives a contradiction.

We proceed with the proof of the second statement. Suppose now that T is hyponormal. If T is a J -class operator, there exists a non-zero vector $h \in H$ so that $J(h) = H$. Therefore there exists a bounded set $C \subset H$ which is bounded away from zero (since $h \neq 0$) such that the set $Orb(T, C)$ is dense in X . The last contradicts Theorem 5.10 in [16]. The case of a positive operator is an easy exercise and is left to the reader. \square

Below we prove that any operator acting on a finite dimensional space cannot be J -class operator.

Proposition 5.5. *Fix any positive integer l and let $A : \mathbb{C}^l \rightarrow \mathbb{C}^l$ be a linear map. Then A is not a J -class operator. In fact $J(x)^o = \emptyset$ for every $x \in \mathbb{C}^l \setminus \{0\}$.*

Proof. By the Jordan's canonical form theorem for A we may assume that A is a Jordan block with eigenvalue $\lambda \in \mathbb{C}$. Assume on the contrary that there exists a non-zero vector $x \in \mathbb{C}^l$ with coordinates z_1, \dots, z_l such that $J(x)^o = \emptyset$. If $\{x_n\} \in \mathbb{C}^l$ is such that $x_n \rightarrow x$ and z_{n1}, \dots, z_{nl} be the corresponding coordinates to x_n then the m -th coordinate of $A^n x_n$ equals to

$$\sum_{k=0}^{l-m} \binom{n}{k} \lambda^{n-k} z_{n(m+k)}.$$

If $|\lambda| < 1$ then $J(x) = \{0\}$. It remains to consider the case $|\lambda| \geq 1$. Suppose $z_l \neq 0$. Then, for every strictly increasing sequence of positive integers $\{k_n\}$ the possible limit points of the sequence $\{\lambda^{k_n} z_{nl}\}$ are: either ∞ in case $|\lambda| > 1$ or a subset of the circumference $\{z \in \mathbb{C} : |z| = |z_l|\}$ in case $|\lambda| = 1$. This leads to a contradiction since $J(x)^o \neq \emptyset$. Therefore, the last coordinate z_l of the non-zero vector $x \in \mathbb{C}^l$ should be 0. In case $|\lambda| = 1$ and since $z_l = 0$ the only limit point of $\{\lambda^{k_n} z_{nl}\}$ is 0 for every strictly increasing sequence of positive integers $\{k_n\}$. So $J(x)^o \subset \mathbb{C}^{l-1} \times \{0\}$, a contradiction. Assume now that $|\lambda| > 1$. For the convenience of the reader we give the proof in the case $l = 3$. Take $y = (y_1, y_2, y_3) \in J(x)$. There exist a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{x_n\} \subset \mathbb{C}^3$ such that $x_n = (x_{n1}, x_{n2}, x_{n3}) \rightarrow (z_1, z_2, 0) = x$ and $A^{k_n} x_n \rightarrow y$. Let

$y_n = (y_{n1}, y_{n2}, y_{n3}) = A^{k_n} x_n$. Hence we have

$$\begin{aligned} y_{n3} &= \lambda^{k_n} x_{n1} + k_n \lambda^{k_n-1} x_{n2} + \frac{k_n(k_n-1)}{2} \lambda^{k_n-2} x_{n3} \\ y_{n2} &= \lambda^{k_n} x_{n2} + k_n \lambda^{k_n-1} x_{n3} \\ y_{n1} &= \lambda^{k_n} x_{n3}. \end{aligned}$$

Since $y_{n3} = \lambda^{k_n} x_{n3} \rightarrow y_3$ then $k_n(k_n-1)x_{n3} \rightarrow 0$. From $y_{n2} \rightarrow y_2$ we get $\frac{y_{n2}}{k_n} = \frac{\lambda^{k_n}}{k_n^2} k_n x_{n2} + \lambda^{k_n-1} x_{n3} \rightarrow 0$. Using the fact that $\lambda^{k_n} x_{n3} \rightarrow y_3$ it follows that the sequence $\{\frac{\lambda^{k_n}}{k_n^2} k_n x_{n2}\}$ converges to a finite complex number, hence $k_n x_{n2} \rightarrow 0$. The last implies $x_{n2} \rightarrow 0$, therefore $z_2 = 0$. We have $x_{n1} = \frac{y_{n3}}{\lambda^{k_n}} - \frac{1}{\lambda} k_n x_{n2} - \frac{1}{2} \lambda^2 k_n(k_n-1)x_{n3}$. Observing that each one term on the right hand side in the previous equality goes to 0, since $y_{n3} \rightarrow y_3$, we arrive at $z_1 = 0$. Therefore $x = 0$ which is a contradiction. \square

Remark 5.6. The previous result does not hold in general if we remove the hypothesis that A is linear even if the dimension of the space is 1. It is well known that the function $f : (0, 1) \rightarrow (0, 1)$ with $f(x) = 4x(1-x)$ is chaotic, see [13]. Consider any homeomorphism $g : (0, 1) \rightarrow \mathbb{R}$. Take $h = gfg^{-1} : \mathbb{R} \rightarrow \mathbb{R}$. Then it is obvious that there is a G_δ and dense set of points with dense orbits in \mathbb{R} . Applying Theorem 3.1 (observe that this corollary holds without the assumption of linearity for T) we get that $J(x) = \mathbb{R}$, for every $x \in \mathbb{R}$.

It is well known, see [21], that if T is a hypercyclic and invertible operator, its inverse T^{-1} is hypercyclic. On the other hand, as we show below, the previously mentioned result fails for J -class operators.

Proposition 5.7. *There exists an invertible J -class operator T acting on a Banach space X so that its inverse T^{-1} is not a J -class operator.*

Proof. Take any hypercyclic invertible operator S acting on a Banach space Y and consider the operator $T = \lambda I_{\mathbb{C}} \oplus S : \mathbb{C} \oplus Y \rightarrow \mathbb{C} \oplus Y$, for any fixed complex number λ with $|\lambda| > 1$. Then, arguing as in Remark 3.6 it is easy to show that T is a J -class operator. However its inverse $T^{-1} = \lambda^{-1} I_{\mathbb{C}} \oplus S^{-1}$ is not a J -class operator since $|\lambda^{-1}| < 1$. \square

Salas in [27] answering a question of D. Herrero constructed a hypercyclic operator T on a Hilbert space such that its adjoint T^* is also hypercyclic but $T \oplus T^*$ is not hypercyclic. In fact the following (unpublished) result of Deddens holds: *suppose T is an operator, acting on a complex Hilbert space, whose matrix with respect to some orthonormal basis, consists entirely of real entries. Then $T \oplus T^*$ is not cyclic.* A proof of Deddens result can be found in the expository paper [29]. Recently, Montes and Shkarin, see [24], extended Deddens' result to the

general setting of Banach space operators. Hence it is natural to ask if there exists an operator T such that $T \oplus T^*$ is a J -class operator. Below we show that this is not the case.

Proposition 5.8. *Let T be an operator acting on a Hilbert space H . Then $T \oplus T^*$ is not a J -class operator.*

Proof. We argue by contradiction, so assume that $T \oplus T^*$ is a J -class operator. Hence there exist vectors $x, y \in H$ such that $J(x \oplus y) = H \oplus H$ and $x \oplus y \neq 0$.

Case I: suppose that one of the vectors x, y is zero. Without loss of generality assume $x = 0$. Then there exist sequences $\{x_n\}, \{y_n\} \subset H$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $x_n \rightarrow x = 0$, $y_n \rightarrow y$, $T^{k_n}x_n \rightarrow y$ and $T^{*k_n}y_n \rightarrow x = 0$. Taking limits to the following equality $\langle T^{k_n}x_n, y_n \rangle = \langle x_n, T^{*k_n}y_n \rangle$ we get that $\|y\| = \|x\| = 0$ and hence $y = 0$. Therefore $x \oplus y = 0$, which yields a contradiction.

Case II: suppose that $x \neq 0$ and $y \neq 0$. Let us show first that $J(\lambda x \oplus \mu y) = H \oplus H$ for every $\lambda, \mu \in \mathbb{C} \setminus \{0\}$. Indeed, fix $\lambda, \mu \in \mathbb{C} \setminus \{0\}$. Take any $z, w \in H$. Since $J(x \oplus y) = H \oplus H$, there exist sequences $\{x_n\}, \{y_n\} \subset H$ and a strictly increasing sequence of positive integers $\{k_n\}$ such that $x_n \rightarrow x$, $y_n \rightarrow y$, $T^{k_n}x_n \rightarrow \lambda^{-1}z$ and $T^{*k_n}y_n \rightarrow \mu^{-1}w$. The last implies that $z \oplus w \in J(\lambda x \oplus \mu y)$, hence $J(\lambda x \oplus \mu y) = H \oplus H$. With no loss of generality we may assume that $\|x\| \neq \|y\|$ (because if $\|x\| = \|y\|$, by multiplying with a suitable $\lambda \in \mathbb{C} \setminus \{0\}$ we have $\|\lambda x\| \neq \|y\|$ and $J(\lambda x \oplus y) = H \oplus H$). Then we proceed as in Case I and arrive at a contradiction. The details are left to the reader. \square

Below we establish that, for a quite large class of operators, an operator T is a J -class operator if and only if $J(0) = X$. What we need to assume is that there exists at least one non-zero vector having “regular” orbit under T .

Proposition 5.9. *Let $T : X \rightarrow X$ be an operator on a Banach space X .*

- (i) *For every positive integer m it holds that $J_T(0) = J_{T^m}(0)$.*
- (ii) *Suppose that z is a non-zero periodic point for T . Then the following are equivalent.*
 - (1) *T is a J -class operator;*
 - (2) *$J(0) = X$;*
 - (3) *$J(z) = X$.*
- (iii) *Suppose there exist a non-zero vector $z \in X$, a vector $w \in X$ and a sequence $\{z_n\} \subset X$ such that $z_n \rightarrow z$ and $T^n z_n \rightarrow w$. Then the following are equivalent.*

- (1) T is a J -class operator;
- (2) $J(0) = X$;
- (3) $J(z) = X$.

In particular, this statement holds for operators with non trivial kernel or for operators having at least one non-zero fixed point.

Proof. Let us first show item (i). Fix any positive integer m and let $y \in J_T(0)$. There exist a strictly increasing sequence of positive integers $\{k_n\}$ and a sequence $\{x_n\}$ in X such that $x_n \rightarrow 0$ and $T^{k_n}x_n \rightarrow y$. Then for every n there exist non-negative integers l_n, ρ_n with $\rho_n \in \{0, 1, \dots, m-1\}$ such that $k_n = l_n m + \rho_n$. Hence without loss of generality we may assume that there is $\rho \in \{0, 1, \dots, m-1\}$ such that $k_n = l_n m + \rho$ for every n . The last implies that $T^{m l_n}(T^\rho x_n) \rightarrow y$ and $T^\rho x_n \rightarrow 0$ as $n \rightarrow \infty$. Hence $J_T(0) \subset J_{T^m}(0)$. The converse inclusion is obvious. Let us show assertion (ii). That (1) implies (2) is an immediate consequence of Lemma 2.11. We shall prove that (2) gives (3). Suppose that N is the period of the periodic point z . Fix $w \in X$. Assertion (i) yields that $J_{T^N}(0) = X$. Hence there exist a strictly increasing sequence of positive integers $\{m_n\}$ and a sequence $\{y_n\}$ in X such that $y_n \rightarrow 0$ and $T^{N m_n}y_n \rightarrow w - z$. It follows that $y_n + z \rightarrow z$ and $T^{N m_n}(y_n + z) \rightarrow w$, from which we conclude that $J_T(z) = X$. This proves assertion (ii). We proceed with the proof of assertion (iii). It only remains to show that (2) implies (3). Take any $y \in X$. There exist a sequence $\{x_n\} \subset X$ and a strictly increasing sequence $\{k_n\}$ of positive integers such that $x_n \rightarrow 0$ and $T^{k_n}x_n \rightarrow y - w$. Our hypothesis implies that $x_n + z_{k_n} \rightarrow z$ and $T^{k_n}(x_n + z_{k_n}) \rightarrow y$. Hence $y \in J(z)$. \square

In the following proposition we provide a construction of J -class operators which are not hypercyclic.

Proposition 5.10. *Let X be a Banach space and let Y be a separable Banach space. Consider an operator $S : X \rightarrow X$ so that $\sigma(S) \subset \{\lambda : |\lambda| > 1\}$. Let also $T : Y \rightarrow Y$ be a hypercyclic operator. Then*

- (i) $S \oplus T : X \oplus Y \rightarrow X \oplus Y$ is a J -class operator but not a hypercyclic operator and
- (ii) the set $\{x \oplus y : x \in X, y \in Y \text{ such that } J(x \oplus y) = X \oplus Y\}$ forms an infinite dimensional closed subspace of $X \oplus Y$ and in particular

$$\{x \oplus y : x \in X, y \in Y \text{ such that } J(x \oplus y) = X \oplus Y\} = \{0\} \oplus Y.$$

Proof. We first prove assertion (i). That $S \oplus T$ is not a hypercyclic operator is an immediate consequence of the fact that $\sigma(S) \subset \{\lambda : |\lambda| > 1\}$. Let us now prove that $S \oplus T$ is a J -class operator. Fix

any hypercyclic vector $y \in Y$ for T . We shall show that $J(0 \oplus y) = X \oplus Y$. Take $x \in X$ and $w \in Y$. Since $\sigma(S) \subset \{\lambda : |\lambda| > 1\}$ it follows that S is invertible and $\sigma(S^{-1}) \subset \{\lambda : |\lambda| < 1\}$. Hence the spectral radius formula implies that $\|S^{-n}\| \rightarrow 0$. Therefore $S^{-n}x \rightarrow 0$. Since y is hypercyclic for T there exists a strictly increasing sequence of positive integers $\{k_n\}$ such that $T^{k_n}y \rightarrow w$. Observe now that $(S \oplus T)^{k_n}(S^{-k_n}x \oplus y) = x \oplus T^{k_n}y \rightarrow x \oplus w$ and $S^{-k_n}x \oplus y \rightarrow 0 \oplus y$. We proceed with the proof of (ii). Fix any hypercyclic vector $y \in Y$ for T . From the proof of (i) we get $J(0 \oplus y) = X \oplus Y$. Since for every positive integer n the vector $T^n y$ is hypercyclic for T , by the same reasoning as above we have that $J(0 \oplus T^n y) = X \oplus Y$. Using Lemma 2.5 and that y is hypercyclic for T we conclude that $J(0 \oplus w) = X \oplus Y$ for every $w \in Y$. To finish the proof, it suffices to show that if $x \in X \setminus \{0\}$ then for every $w \in Y$, $J(x \oplus w) \neq X$. In particular we will show that $J(x \oplus w) = \emptyset$. Suppose there exists $h \in J^+(x) = J(x)$ (see Definition 2.2). Propositions 2.9 and 2.10 imply that $x \in J^-(h) = L^-(h)$ (since S^{-1} is power bounded). On the other hand $\|S^{-n}\| \rightarrow 0$ and therefore $x \in L^-(h) = \{0\}$, which is a contradiction. \square

We next provide some information on the spectrum of a J -class operator. Recall that if T is hypercyclic then every component of the spectrum $\sigma(T)$ intersects the unit circle, see [22]. Although the spectrum of a J -class operator intersects the unit circle ∂D , see Proposition 5.12 below, it may admit components not intersecting ∂D . For instance consider the J -class operator $2B \oplus 3I$, where B is the backward shift on $l^2(\mathbb{N})$ and I is the identity operator on \mathbb{C} .

Proposition 5.11. *Let $T : X \rightarrow X$ be an operator on a complex Banach space X . If $r(T) < 1$, where $r(T)$ denotes the spectral radius of T , or $\sigma(T) \subset \{\lambda : |\lambda| > 1\}$ then T is not a J -class operator.*

Proof. If $r(T) < 1$ then we have $\|T^n\| \rightarrow 0$. Hence T is not a J -class operator. If $\sigma(T) \subset \{\lambda : |\lambda| > 1\}$ the conclusion follows by the proof of Proposition 5.10. \square

Proposition 5.12. *Let X be a complex Banach space. If $T : X \rightarrow X$ is a J -class operator, it holds that $\sigma(T) \cap \partial D \neq \emptyset$.*

Proof. Assume, on the contrary, that $\sigma(T) \cap \partial D = \emptyset$. Then we have $\sigma(T) = \sigma_1 \cup \sigma_2$ where $\sigma_1 = \{\lambda \in \mathbb{C} : |\lambda| < 1\}$ and $\sigma_2 = \{\lambda \in \mathbb{C} : |\lambda| > 1\}$. If at least one of the sets σ_1, σ_2 is empty, we reach a contradiction because of Proposition 5.11. Assume now that both σ_1, σ_2 are non-empty. Applying Riesz decomposition theorem, see [26], there exist invariant subspaces X_1, X_2 of X under T such that $X = X_1 \oplus X_2$

and $\sigma(T_i) = \sigma_i$, $i = 1, 2$, where T_i denotes the restriction of T to X_i , $i = 1, 2$. It follows that $T = T_1 \oplus T_2$ and since T is J -class it is easy to show that at least one of T_1, T_2 is a J -class operator. By Proposition 5.11 we arrive again at a contradiction. \square

Proposition 5.13. *Let $T : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$ be a unilateral backward weighted shift with positive weight sequence $\{\alpha_n\}$ and consider a vector $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$. The following are equivalent.*

- (i) T is hypercyclic;
- (ii) $J(x) = l^2(\mathbb{N})$;
- (iii) $J(x)^o \neq \emptyset$.

Proof. It only remains to prove that (iii) implies (i). Suppose $J(x)^o \neq \emptyset$. Then there exists a vector $y = (y_1, y_2, \dots) \in J(x)$ such that $y_1 \neq 0$. Hence we may find a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{z_n\}$ in $l^2(\mathbb{N})$, $z_n = (z_{n1}, z_{n2}, \dots)$, such that $z_n \rightarrow x$ and $T^{k_n} z_n \rightarrow y$. We have

$$|(T^{k_n} z_n)_1 - y_1| = \left| \left(\prod_{i=1}^{k_n} \alpha_i \right) z_{n(k_n+1)} - y_1 \right| \rightarrow 0.$$

Observe that $|z_{n(k_n+1)}| \leq |z_{n(k_n+1)} - x_{k_n+1}| + |x_{k_n+1}| \leq \|z_n - x\| + |x_{k_n+1}|$. The above inequality implies $z_{n(k_n+1)} \rightarrow 0$ and since $y_1 \neq 0$ we arrive at $\prod_{i=1}^{k_n} \alpha_i \rightarrow +\infty$. By Salas' characterization of hypercyclic unilateral weighted shifts, see [28], it follows that T is hypercyclic. \square

Remark 5.14. We would also like to mention that (ii) implies (i) in the previous proposition, is an immediate consequence of Proposition 5.3 in [16]. Let us stress that in case T is a unilateral backward weighted shift on $l^2(\mathbb{N})$, the condition $J(0) = l^2(\mathbb{N})$ implies that T is hypercyclic. For a characterization of J -class unilateral weighted shifts on $l^\infty(\mathbb{N})$ in terms of their weight sequence see [12].

Proposition 5.15. *Let $T : l^2(\mathbb{Z}) \rightarrow l^2(\mathbb{Z})$ be a bilateral backward weighted shift with positive weight sequence $\{\alpha_n\}$ and consider a non-zero vector $x = (x_n)_{n \in \mathbb{Z}}$ in $l^2(\mathbb{Z})$. The following are equivalent.*

- (i) T is hypercyclic;
- (ii) $J(x) = l^2(\mathbb{Z})$;
- (iii) $J(x)^o \neq \emptyset$.

Proof. It suffices to show that (iii) implies (i). In view of Salas' Theorem 2.1 in [28], we shall prove that there exists a strictly increasing sequence $\{k_n\}$ of positive integers such that for any integer q , $\prod_{i=1}^{k_n} \alpha_{i+q} \rightarrow +\infty$ and $\prod_{i=0}^{k_n-1} \alpha_{q-i} \rightarrow 0$. Since x is a non-zero vector,

there exists an integer m such that $x_m \neq 0$. Without loss of generality we may assume that m is positive. Suppose $J(x)^o \neq \emptyset$. Then there exists a vector $y = (y_n)_{n \in \mathbb{Z}}$ in $l^2(\mathbb{Z})$ such that $y_1 \neq 0$. Hence we may find a strictly increasing sequence $\{k_n\}$ of positive integers and a sequence $\{z_n\}$ in $l^2(\mathbb{Z})$, $z_n = (z_{nl})_{l \in \mathbb{Z}}$, such that $z_n \rightarrow x$ and $T^{k_n} z_n \rightarrow y$. For simplicity reasons we assume that $q = 0$. Arguing as in the proof of Proposition 5.13 we get that $\prod_{i=1}^{k_n} \alpha_i \rightarrow +\infty$. On the other hand observe that

$$|(T^{k_n} z_n)_{m-k_n} - y_{m-k_n}| = \left| \left(\prod_{i=0}^m \alpha_i \right) \left(\prod_{i=1}^{k_n-m+1} \alpha_{-i} \right) z_{nm} - y_{m-k_n} \right| \rightarrow 0.$$

Since $x_m \neq 0$ there exists a positive integer n_0 such that $|z_{nm}| \geq \frac{|x_m|}{2}$ for every $n \geq n_0$. We also have $(T^{k_n} z_n)_{m-k_n} \rightarrow 0$. The above imply that $\prod_{i=0}^{k_n-1} \alpha_{-i} \rightarrow 0$. \square

6. OPEN PROBLEMS

Problem 1.

Let $T : X \rightarrow X$ be an operator on a Banach space X . Suppose there exists a vector $x \in X$ such that $J(x)^o \neq \emptyset$. Is it true that $J(x) = X$?

Ansari [1] and Bernal [4] gave a positive answer to Rolewicz' question if every separable and infinite dimensional Banach space supports a hypercyclic operator. Observe that we showed that the non-separable Banach space $l^\infty(\mathbb{N})$ admits a J -class operator, while on the other hand Bermúdez and Kalton [3] showed that $l^\infty(\mathbb{N})$ does not support topologically transitive operators. Hence it is natural to raise the following question.

Problem 2.

Does every non-separable and infinite dimensional Banach space support a J -class operator?

D. Herrero in [20] established a spectral description of the closure of the set of hypercyclic operators acting on a Hilbert space. Below we ask a similar question for J -class operators.

Problem 3.

Is there a spectral description of the closure of the set of J -class operators acting on a Hilbert space?

Problem 4.

Let X be a separable Banach space and $T : X \rightarrow X$ be an operator. Suppose that $J(x)^o \neq \emptyset$ for every $x \in X$. Does it follow that T is hypercyclic?

Inspired by Grivaux's result that every operator on a complex Hilbert space can be written as a sum of two hypercyclic operators, we consider the following.

Problem 5.

Is it true that any operator on $l^\infty(\mathbb{N})$ can be written as a sum of two J -class operators?

REFERENCES

- [1] S. I. ANSARI, Hypercyclic and cyclic vectors, *J. Funct. Anal.* **128** (1995), 374-383.
- [2] F. BAYART AND É. MATHERON, *Dynamics of linear operators*, Volume 175 of Cambridge Tracts in Mathematics, Cambridge University Press, 2009.
- [3] T. BERMÚDEZ AND N. J. KALTON, The range of operators on von Neumann algebras, *Proc. Amer. Math. Soc.* **130** (2002), 1447-1455.
- [4] L. BERNAL-GONZÁLEZ, On hypercyclic operators on Banach spaces, *Proc. Amer. Math. Soc.* **127** (1999), 1003-1010.
- [5] J. P. BÈS, Invariant manifolds of hypercyclic vectors for the real scalar case, *Proc. Amer. Math. Soc.* **127** (1999), 1801-1804.
- [6] N. P. BHATIA AND G. P. SZEGO, *Stability theory of dynamical systems*. Die Grundlehren der mathematischen Wissenschaften, Band 161 Springer-Verlag, New York-Berlin 1970 xi+225 pp.
- [7] J. BONET, L. FRERICK, A. PERIS AND J. WENGENROTH, Transitive and hypercyclic operators on locally convex spaces, *Bull. London Math. Soc.* **37** (2005), 254-264.
- [8] J. BONET, F. MARTÍNEZ-GIMÉNEZ AND A. PERIS, Linear chaos on Frechet spaces, Dynamical systems and functional equations (Murcia, 2000), *Internat. J. Bifur. Chaos Appl. Sci. Engrg.* **13** (2003), 1649-1655.
- [9] P. S. BOURDON, Invariant Manifolds of Hypercyclic Vectors, *Proc. Amer. Math. Soc.* **118** (1993), 845-847.
- [10] P. S. BOURDON, Orbits of hyponormal operators, *Michigan Math. J.* **44** (1997), 345-353.
- [11] P. S. BOURDON AND N. S. FELDMAN, Somewhere dense orbits are everywhere dense, *Indiana Univ. Math. J.* **52** (2003), 811-819.
- [12] G. COSTAKIS AND A. MANOUSSOS, J -class weighted shifts on the space of bounded sequences of complex numbers, *Integral Equations Operator Theory* **62** (2008), 149-158.
- [13] R. L. DEVANEY, *An introduction to chaotic dynamical systems*. Second edition. Addison-Wesley Studies in Nonlinearity. Addison-Wesley Publishing Company, Advanced Book Program, Redwood City, CA, 1989, xviii+336 pp.
- [14] N. S. FELDMAN, Perturbations of hypercyclic vectors, *J. Math. Anal. Appl.* **273** (2002), 67-74.
- [15] N. S. FELDMAN, (A Survey of) The Dynamics of Cohyponormal Operators, *Contemp. Math. Amer. Math. Soc.* **321** (2003), 71-85.
- [16] N. S. FELDMAN, Countably hypercyclic operators, *J. Operator Theory* **50** (2003), 107-117.
- [17] K. -G. GROSSE-ERDMANN, Universal families and hypercyclic operators, *Bull. Amer. Math. Soc.* **36** (1999), 345-381.

- [18] K. -G. GROSSE-ERDMANN, Recent developments in hypercyclicity, *RACSAM Rev. R. Acad. Cienc. Exactas Fis. Nat. Ser. A Mat.* (2003), 273-286.
- [19] K. -G. GROSSE-ERDMANN, *Dynamics of linear operators*, preprint.
- [20] D. HERRERO, Limits of hypercyclic and supercyclic operators, *J. Funct. Anal.* **99** (1991), 179-190.
- [21] D. A. HERRERO AND C. KITAI, On invertible hypercyclic operators, *Proc. Amer. Math. Soc.* **116** (1992), 873-875.
- [22] C. KITAI, *Invariant closed sets for linear operators*, PhD Dissertation, University of Toronto, (1982).
- [23] A. MONTES-RODRÍGUEZ AND H. N. SALAS, Supercyclic subspaces, *Bull. London Math. Soc.* **35** (2003), 721-737.
- [24] A. MONTES-RODRÍGUEZ AND S. A. SHKARIN, Non-weakly supercyclic operators, *J. Operator Theory* **58** (2007), 39-62.
- [25] A. PERIS, Multihypercyclic operators are hypercyclic, *Math. Z.* **236** (2001), 778-786.
- [26] H. RADJAVI AND P. ROSENTHAL, *Invariant subspaces*, Ergebnisse der Mathematik und ihrer Grenzgebiete, Band 77. Springer-Verlag, New York-Heidelberg, 1973 xi+219 pp.
- [27] H. N. SALAS, A hypercyclic operator whose adjoint is also hypercyclic, *Proc. Amer. Math. Soc.* **112** (1991), 765-770.
- [28] H. N. SALAS, Hypercyclic weighted shifts, *Trans. Amer. Math. Soc.* **347** (1995), 993-1004.
- [29] J. H. SHAPIRO, *Notes on the Dynamics of Linear Operators*, Unpublished Lecture Notes, (available at www.math.msu.edu/~shapiro).

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CRETE, KNOSSOS AVENUE,
GR-714 09 HERAKLION, CRETE, GREECE
E-mail address: `costakis@math.uoc.gr`

FAKULTÄT FÜR MATHEMATIK, SFB 701, UNIVERSITÄT BIELEFELD, POST-
FACH 100131, D-33501 BIELEFELD, GERMANY
E-mail address: `amanouss@math.uni-bielefeld.de`